



AMENDMENT TRANSMITTAL LETTER

Docket No.
K2475.0001/P001

Application No.
09/986,670-Conf. #5823

Filing Date
November 9, 2001

Examiner
Geoffrey R. Akers

Art Unit
3624

Applicant(s): Bart Kavanaugh

Invention: SYSTEM FOR FUNDING, ANALYZING AND MANAGING LIFE INSURANCE POLICIES
FUNDED WITH ANNUITIES

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

RECEIVED

MAR 04 2004

GROUP 3600

CLAIMS AS AMENDED

| | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate | |
|--|---|---|-----------------------------------|------|------|
| Total Claims | 15 | - 79 = | | x | 0.00 |
| Independent Claims | 3 | - 8 = | | x | 0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | |
| Other fee (please specify): | | | | | |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: | | | | | 0.00 |

☒ Large Entity

☐ Small Entity

☒ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-1073
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Jon D. Grossman
Attorney Reg. No.: 32,699

Dated: March 3, 2004

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